STATE OF NEBRASKA

DEPARTMENT OF INSURANCE

L. Tim Wagner

Director



NEWS RELEASE

NR-0514

FOR IMMEDIATE RELEASE: FOR MORE INFORMATION:

July 14, 2005 Christine Neighbors, NE Department of Insurance

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State Insurance Department Recommends Businesses Refuse Certificates of Insurance Issued By Richard A. Brooks and Brooks & Associates, Ltd.

The Nebraska Department of Insurance issued a Cease and Desist against Richard A. Brooks and Brooks & Associates, Ltd., ("Brooks"), 1338 Park Avenue, River Forest, Illinois, instructing Brooks to immediately cease transacting the business of insurance in Nebraska. The Department has reason to suspect that Brooks solicited the sale of commercial general liability insurance to Nebraska businesses or businesses traveling into Nebraska to conduct business by providing Certificates of Insurance for payment of a premium. During further investigation, the Department determined that insurers cited on the certificate were not insurance companies and/or the policy numbers provided were not legitimate policy numbers. Further, the Department's investigation revealed that Brooks is not a licensed insurance agent, agency or insurer.

A copy of the text of the Cease and Desist, <u>Cause No. I-62</u>, is available on the Nebraska Department of Insurance website at <u>www.doi.ne.gov</u>. The Department recommends that businesses refuse Certificates of Insurance issued by Richard A. Brooks and Brooks & Associates, Ltd., and require insurance coverage that can be verified.